

SUBSTITUTE FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (MODIFIED) PATENT AND TRADEMARK OFFICE SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT (37 CFR 1.98(b)) (Use several sheets if necessary)	ATTY. DOCKET NO. IBM/201	SERIAL NO. 10/021,203
	APPLICANT Joseph Philip Bigus et al.	
	FILING DATE October 30, 2001	Confirmation No. 1374

U.S. PATENT & TRADEMARK OFFICE DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
M.B.	A.A	6 0 2 1 4 3 7	2/1/2000	Chen et al.	709	224	7/14/97
	A.B	6 0 7 0 1 8 5	5/30/2000	Anupam et al.	709	204	5/2/1997
	A.C	6 0 8 5 1 7 8	7/4/2000	Bigus et al.	705	80	3/21/1997
	A.D	6 1 8 2 1 3 6	1/30/2001	Ramanathan et al.	709	224	9/8/1998
	A.E	6 1 9 9 0 9 9	3/6/2001	Gershman et al.	709	203	3/5/1999
	A.F	6 2 0 2 1 9 9	3/13/2001	Wygodny et al.	717	4	7/30/1998
	A.G	6 2 8 2 7 0 1	8/28/2001	Wygodny et al.	717	4	7/30/1998
M.B.	A.H	6 2 8 6 0 4 7	9/4/2001	Ramanathan et al.	709	224	9/10/1998
	A.I						
	A.J						
	A.K						

FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION (YES/NO)
	A.L					
	A.M					
	A.N					
	A.O					
	A.P					
	A.Q					

OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

M.B.	A.R	Object Management Group, Inc., <u>Mobile Agent Facility Specification</u> , New Edition: January 2000; GMD FOKUS and IBM (1999)
I	A.S	Bieszczad, Andrzej et al., "Management of Heterogeneous Networks with Intelligent Agents", <u>Bell Labs Technical Journal</u> , Lucent Technologies, Inc., (October-December 1999), pp. 109-135.
M.B.	A.T	U.S. Patent Application Serial No. 08/821,935, entitled "Intelligent Agent with Negotiation Capability and Method of Negotiation Therewith," filed on March 21, 1997 by Bigus et al. (RO996-054)

EXAMINER

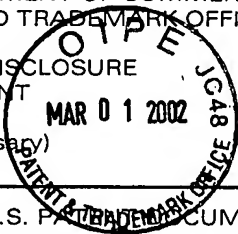
M.B. KUP

DATE CONSIDERED

April 24, 2004

EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance and not considered. Include a copy of this form with next communication to applicant.

SUBSTITUTE FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (MODIFIED) PATENT AND TRADEMARK OFFICE				ATTY. DOCKET NO. IBM/201		SERIAL NO. 10/021,203	
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) (37 CFR 1.98(b))				APPLICANT Joseph Philip Bigus et al.		Confirmation No. 1374	
				FILING DATE October 30, 2001		GROUP 2185	



U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	B.A						
	B.B						
	B.C						
	B.D						
	B.E						
	B.F						
	B.G						
	B.H						
	B.I						
	B.J						
	B.K						


FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS							
DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION (YES/NO)		
B.L							
B.M							
B.N							
B.O							
B.P							
B.Q							

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Technology Center 2100

OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)	
M.B.	B.R U.S. Patent Application Serial No. 08/822,993, entitled "Apparatus and Method for Optimizing the Performance of Computer Tasks Using Intelligent Agent with Multiple Program Modules Having Varied Degrees of Domain Knowledge," filed on March 21, 1997 by Bigus et al. (RO996-052)
B.S	
B.T	

EXAMINER 	DATE CONSIDERED April 20, 2004
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EXAMINER: Initial if citation considered, whether or not in conformance. Draw line through citation only if not in conformance and not considered. Include a copy of this form with next communication to applicant.

FORM PTO-1449 (Modified)

ATTY. DOCKET NO.
ROC920010146US1SERIAL NO.
10/021,203LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S
INFORMATION
DISCLOSURE STATEMENT

(Use several sheets if necessary)

APPLICANT:
Bigus, et al.FILING DATE:
October 30, 2001GROUP:
2185COPY OF PAPERS
ORIGINALLY FILED

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE (IF APPRO.)
AM-B	AA 6 0 8 5 1 7 8	07/04/2000	Bigus et al.	705	80	March 21, 1997
	AB					
	AC					
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FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
					YES NO
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AN					
AO					
AP					

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

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EXAMINER

M. B. B.

DATE CONSIDERED

April 26, 2004

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FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S
INFORMATION
DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.
ROC920010146US1SERIAL NO.
10/021,203APPLICANT:
Joseph P. Bigus et al.FILING DATE:
October 30, 2001GROUP:
Unassigned

RÉFÉRENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIALS		DOCUMENT NUMBER							DATE	NAME	CLASS	SUB- CLASS	FILING DATE (IF APPRO.)
gjh	AA	6	1	9	2	3	5	4	02/20/01	Bigus et al.	706	46	
	AB												
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	AE												
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	AG												
	AH												
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	AJ												
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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
													YES	NO
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	AM													
	AN													
	AO													
	AP													

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

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EXAMINER

gjh

DATE CONSIDERED

April 20, 2004

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